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Alexandria, VA 22313-1450

On October 12, 2006

MORGAN, LEWIS & BOCKIUS LLP

By: 

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Shawn DeFrees

Application No.: 10,549,445

Filed: September 14, 2005

For: BRANCHED WATER-SOLUBLE  
POLYMERS AND THEIR  
CONJUGATES

Customer No.: 43850

Confirmation No.: 1342

Examiner: Not Yet Assigned

Art Unit: 1623

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/8A are being called to the attention of the Examiner. Copies of the references are enclosed. Also enclosed is a copy of an International Search Report which the Patent Cooperation Treaty issued for the corresponding PCT patent application. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

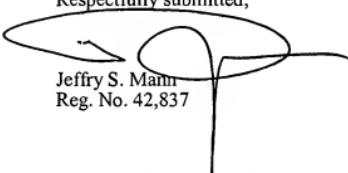
As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

PATENT  
Attorney Docket No.: 40853-01-5133-US  
Client Reference No.: NEO00262US/371

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0310. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

  
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Substitute for form 1449B/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	10/549,445
Filing Date	September 14, 2005
First Named Inventor	Shawn DeFrees
Art Unit	1623
Examiner Name	Not Yet Assigned

Attorney Docket Number 40853-01-5133-US

**U.S. PATENT DOCUMENTS+**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
	AA	US 4,565,653	01/21/1986	Ives, et al.		

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AB	Abeijon, et al., "3'-O-(4-Benzoyl)benzoylcytidine 5'-triphosphate", <i>The Journal of Biological Chemistry</i> , 1986, 261(24):11374-11377.	

Examiner Signature	+6074330441 /Patrick Lewis/ (07/13/2009)	Date Considered	
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<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>2</sup>Applicant's unique citation designation number (optional). <sup>3</sup>Applicant is to place a check mark here if English language Translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /P.L./ (07/13/2009)